The Intersection of Technology, Risk and Ethics
Presenters

**Erica Salmon-Byrne**  
Executive Vice President - Governance and Compliance  
Executive Director - BELA  
Ethisphere Institute

**Brian Beeghly**  
Co-Founder and Chief Executive Officer  
informed360  
*2017 Top Mind - Compliance Week*

**Jonathan Whitacre**  
Executive Vice President - Services  
Ethisphere Institute
Increasing Expectations

BOARD OF DIRECTORS

Culture
Reputation
Visibility
Performance
Measurement

COMPLIANCE
Effectiveness
Resources
Documentation
Remediation
Improvement

PRODUCTIVITY
Intuitive
Accessible
Integrated
Mobile First
Incentives

BUSINESS LEADERS

Program
Processes
Tools
Accountability
Data and Metrics

FINES/ROI
Benchmarking
Best Practices
Networking
Communities
Dialogue

RISK REDUCTION
Compliance
Risk Reduction
Productivity
Cost/ROI
Fines/Penalties

PEERS

ACCOUNTABILITY
PEERS

CECO
As many as 10 different technology systems support today’s ethics and compliance programs. Most CECOs are using multiple systems and only 7% are integrated via automatic data feeds like API or other methods.

Ethisphere/Convercent: 2017 Ethics and Compliance Survey
Data Challenges

- Stranded data
- Inconsistent data
- Inaccurate data
- Activity-based
- Lack of visibility
- Resource drain
- Manual manipulation

Ethisphere/Convercent: 2017 Ethics and Compliance Survey
Strategic Imperatives

FROM

CULTURE
- Tone at the Top
- Engagement Surveys
- Taken-for-Granted

PROGRAM
- Established and Basic
- Activity-based Metrics
- Continuous Improvement

TECHNOLOGY
- Disparate Systems and Data
- Static Reporting
- Complex Interfaces

TO

CULTURE
- Ethical Leadership
- Culture Assessments
- Behavioral Psychology

PROGRAM
- Effective and Measureable
- Performance-based Metrics
- Sustainable Maturity Model

TECHNOLOGY
- Integrated Solutions
- Robust Data Analytics
- Simple and Intuitive
Technology Requirements

- Intuitive and Simple
- Dashboard-driven
- Risk-based
- Device-friendly
- Secure
- Multi-lingual
- Productivity
- Accountability
- Methodology
- Cloud-based
Key Takeaways

• Stakeholder expectations increasing
• Challenging technology and data environment
• Strategic imperative for efficient and effective technology
• Simple, intuitive and integrated solutions
• Focus on managing risk and and ethical culture
Wrap-Up

• Follow-Up Items
  ➢ Whitepaper
  ➢ Link to webcast

• Engagement Opportunities
  ➢ Strategic Partners
  ➢ Risk Assessment Modularization

• Contact Information
  ➢ Jonathan Whitacre +1-615-210-2876 / jonathan.whitacre@ethisphere.com
  ➢ Brian Beeghly +1-414-524-9266 / brian.beeghly@informed360.com